

# Fairhaven Privacy Policy

## Facts

### What Does Fairhaven Wealth Management, LLC (“Fairhaven Wealth”) Do With Your Personal Information?

#### The Law

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.

#### Our Policy

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Income
- Employment and residential information
- Social security number
- Cash balance
- Security balances
- Transaction detail history
- Investment objectives, goals, and risk tolerance

When you are no longer our customer, we continue to share your information as described in this notice.

#### Your Rights

All financial companies need to share customers’ personal information to run their everyday business. We list below the reasons financial companies can share their customers’ personal information; the reasons Fairhaven Wealth chooses to share; and whether you can limit this sharing.

## Definitions

#### Everyday Business Purposes

The actions necessary by financial companies to run their business and manage customer accounts, such as providing investment advisory and financial planning advice, processing securities transactions, and otherwise providing financial services to you.

#### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies. Fairhaven Wealth has no affiliates.

#### Non-Affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies. Fairhaven Wealth shares personal client information with Triad Advisors, LLC, a non-affiliated registered broker-dealer.

#### Joint Marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you. Fairhaven Wealth Management does not share information with non-affiliates for marketing purposes.

Reasons we can share your personal information	Does Fairhaven Wealth share?	Can you limit this sharing?
For our everyday business purposes—With one or more of our affiliated entities, at our sole discretion and for purposes either related or unrelated to services we provide you, and to nonaffiliated entities that either perform services for us or function on our behalf (such as check-printing services, account aggregation providers, broker-dealers, investment companies, and insurance companies)	Yes	Yes
For our marketing purposes—to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	We do not share
For our affiliates' everyday business purposes—information about your transactions and experiences	No	We do not share
For our affiliates' everyday business purposes—information about your creditworthiness	No	We do not share
For our affiliates to market to you	No	We do not share
For non-affiliates to market to you	No	We do not share
<b>Contact Us</b>	<b>Call Fairhaven Wealth at 630-990-9000</b>	

Sharing Practices	
<b>How often does Fairhaven Wealth notify me about their practices?</b>	We must notify you about our sharing practices when you open an account and each year while you are a customer.
<b>How does Fairhaven Wealth protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Fairhaven Wealth collect my personal information?</b>	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>■ establish an investment advisory relationship</li> <li>■ contract for financial planning services</li> <li>■ open an account or deposit money with custodians</li> <li>■ purchase or sell securities with executing broker-dealers</li> </ul> <p>We also collect your personal information from others, such as custodians, broker-dealers, or other companies.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit sharing only for</p> <ul style="list-style-type: none"> <li>■ affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ affiliates to market to you</li> <li>■ non-affiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>